

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|---|--|--|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br>30071/41865<br>U.S. APPLICATION NO. (If filed, see 37 CFR 1.5)<br><b>10/581232</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2004/013599  | INTERNATIONAL FILING DATE<br>1 December 2004 | PRIORITY DATE CLAIMED<br>2 December 2003   |
| TITLE OF INVENTION<br>DEVICE FOR SCREWING CAPS ONTO CONTAINERS  |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Horst Lang et al.  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.<br>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input type="checkbox"/> The US has been elected (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))<br>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input checked="" type="checkbox"/> is attached hereto (with translator's certificate).<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br><b>Items 11 to 20 below concern document(s) or information included:</b><br>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A preliminary amendment.<br>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.<br>15. <input checked="" type="checkbox"/> A substitute specification (Marked-Up and Clean Versions).<br>16. <input type="checkbox"/> A power of attorney and/or change of address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.<br>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |  |  |

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| U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><div style="font-size: 2em; font-weight: bold; margin-top: 10px;">10/581232</div>   | INTERNATIONAL APPLICATION NO.<br>PCT/EP2004/013599 | ATTORNEY'S DOCKET NUMBER<br>30071/41865  |              |              |
|--|--|--|--------------|--------------|
| 20. <input checked="" type="checkbox"/> Other items or information: General Authorization, PTO Forms 101, 105, 202, 220, 210, 237, and Return Receipt Postcard   |  |  |              |              |
| The following fees have been submitted   |  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> </table> | CALCULATIONS | PTO USE ONLY |
| CALCULATIONS   | PTO USE ONLY                                       |  |              |              |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) ..... \$300   |  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 300.00</td> <td style="width: 40%;"></td> </tr> </table>                | \$ 300.00    |              |
| \$ 300.00  |  |  |              |              |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0<br>All other situations ..... \$200  |  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 200.00</td> <td style="width: 40%;"></td> </tr> </table>                | \$ 200.00    |              |
| \$ 200.00  |  |  |              |              |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100<br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400<br>All other situations ..... \$500 |  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 500.00</td> <td style="width: 40%;"></td> </tr> </table>                | \$ 500.00    |              |
| \$ 500.00  |  |  |              |              |
| <b>TOTAL OF 21, 22 and 23 =</b>  |  | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 1,000.00</td> <td style="width: 40%;"></td> </tr> </table>              | \$ 1,000.00  |              |
| \$ 1,000.00  |  |  |              |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |  |  |              |              |
| Total Sheets   | Extra Sheets                                       | Number of each additional 50 or fraction thereof (round up to a whole number)  |              |              |
| - 100 =  | /50 =  | x \$250.00   |              |              |
|  |  | \$   |              |              |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).   |  | \$   |              |              |
| CLAIMS   | NUMBER FILED                                       | NUMBER EXTRA   |              |              |
| Total claims   | 7 - 20 =   | x  |              |              |
| Independent claims   | 1 - 3 =  | x  |              |              |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |  | +  |              |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |  | \$ 1,000.00  |              |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |  |  |              |              |
| <b>SUBTOTAL =</b>  |  | \$ 1,000.00  |              |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |  | \$   |              |              |
| <b>TOTAL NATIONAL FEE =</b>  |  | \$ 1,000.00  |              |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |  | \$   |              |              |
|  |  | \$   |              |              |
| <b>TOTAL FEES ENCLOSED =</b>   |  | \$ 1,000.00  |              |              |
|  |  | Amount to be refunded: \$  |              |              |
|  |  | Amount to be charged \$  |              |              |

10/581232

AP3 Rec'd PCT/PTO 02 JUN 2008

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- a. ☒ A check in the amount of \$ 1,000.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 13-2855 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2855. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

  
SIGNATURE  
Richard B. Hoffman  
NAME

CUSTOMER NUMBER: 04743

26,910  
REGISTRATION NUMBER

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 30071/41865

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 686093652 US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on 2 June 2006  
Date



Signature

Juan Quintero

Typed or printed name of person signing Certificate

Registration Number, if applicable

(312) 474-6300  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (In Dup.)  
Preliminary Amendment (5 pages)  
Substitute Specification – Clean and Marked-Up Versions  
English Language Translation of the International Application as Filed (6 pages)  
Application Data Sheet (2 pages)  
General Authorization,  
Information Disclosure Statement, SB-08 and Cited Reference  
PCT Forms 101, 105, 202, 220, 210, 237, and, an  
Return Receipt Postcard  
Check in the Amount of \$1,000.00

**BURG**

Translations,

Inc.

29 South LaSalle Street

Suite 936

Chicago IL 60603 USA

1800.959.burg (2874)

312.263.3379

312.263.4325 fax

burg@burgtranslations.com

www.burgtranslations.com

June 1, 2006

I, Lodovico Passalacqua, having been duly sworn, depose and say that the accompanying translation the German patent application entitled "Device for Screwing Caps onto Containers" has been translated into English by Burg Translations, Inc., and that, according to the best of my knowledge and belief, it is a true and accurate rendering of the original German document.



Lodovico Passalacqua, Manager

Subscribed and sworn before me on  
June 1, 2006

  
John R. Emerzian, Notary Public

